

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Pa^t Application of:

SMADJA-JOFFE et al.

Serial No. 09/927,463

Filed: August 13, 2001

For: MEANS FOR REGULATING HEMATOPOIETIC DIFFERENTIATION

Attention: Application Branch

Atty. Dkt. 1721-33

Date: December 17, 2001



Assistant Commissioner for Patents
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

Signed Rule 63 Declaration alone, Copy of Declaration from prior application alone, OR
 Signed Declaration plus attached copy of originally filed specification/drawings.

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.

Record the attached assignment and return to the undersigned.
 Attached is a Power of Attorney.

Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
99/01644	FRANCE	11 February 1999
PCT/FR00/00349		11 February 2000

respectively.

Certified copy(ies) of foreign application(s) is/are attached.
 Certified copy(ies) filed on _____ in prior application no. _____, filed _____.
 Applicant claims "small entity" status. "Small entity" statement attached.
 Please enter the attached and/or below preliminary amendment **prior** to calculation of filing fee.
 Also attached: **Information Disclosure Statement**; **Nucleotide and/or Amino Acid Sequence Submission**; **Other: Statement with paper and computer-readable copies of Sequence Listing; English language translation of application**

Fees due are calculated below:

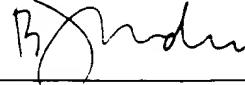
Basic filing fee	\$	740.00
Total Effective claims 16 - 20 = 0 x \$ 18.00	\$	0.00
Independent claims 2 - 3 = 0 x \$ 84.00	\$	0.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)	\$	0.00
FILING FEE	\$	740.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)	\$	110.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted	\$	130.00
English translation of specification and claims (\$130.00)	\$	130.00
FIRST SUBTOTAL	\$	1,110.00
If "small entity," enter half (½) of subtotal and subtract	\$	0.00
SECOND SUBTOTAL	\$	1,110.00
Assignment Recording Fee (\$40.00)	\$	40.00
TOTAL FEE DUE	\$	1,150.00
Check enclosed (Pre-Bill)*	\$	880.00
Check enclosed (non Pre-Bill)*	\$	270.00
TOTAL FEE ENCLOSED	\$	1150.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.

By Atty: B. J. Sadoff, Reg. No. 36,663

Signature: 

*For N&V internal accounting purposes



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER 09 927.463	FILING RECEIPT DATE 08/13/2001	FIRST NAMED APPLICANT Florence Smadja-Joffe	ATTORNEY DOCKET NUMBER 1721-33
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DEC 17 2001

CO 8
U.S. PATENT & TRADEMARK OFFICE

CONFIRMATION NO. 5388

FORMALITIES LETTER



OC00000006566853

NIXON & VANDERHYE P.C.
 8th Floor
 1100 North Glebe Road
 Arlington, VA 22201-4714

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

June 12
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE